# Pilotless Communications Over Wiener Phase Noise Channels

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## Phase Noise Channel Model



Phase Noise Equivalent Baseband Channel

$$r_k = c_k e^{j\theta_k} + n_k, \quad n_k \sim \mathcal{CN}(0, \sigma^2)$$

$$\theta_k = \theta_{k-1} + \Delta_k, \quad \Delta_k \sim \mathcal{N}(0, \sigma_{\Delta}^2)$$

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# Optimal Approach - Joint Detection & Estimation

#### Sum and Product Algorithm

• MAP detection -

$$\hat{b}_k = arg \max P(b_k | \mathbf{r})$$

• We will use the Sum and Product algorithm in order to compute

 $P(b_k|\mathbf{r})$ 

- First we need to derive the factor graph for this problem
- Then, we can run the SPA message passing algorithm

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# Optimal Approach - Joint Detection & Estimation

The Factor Graph Approach,

$$p(\mathbf{c}, \boldsymbol{\theta} | \mathbf{r}) \propto p(\theta_0) \prod_{k=1}^{K-1} \underbrace{p(\theta_k | \theta_{k-1})}_{p_{\Delta}(\theta_k - \theta_{k-1})} \prod_{k=0}^{K-1} \underbrace{p(r_k | \theta_k, c_k)}_{f_k(c_k, \theta_k)} \mathbb{1}\{c_0^{K-1} \in \mathcal{C}\}$$



[From Barbieri, Colavolpe and Caire (2006)]

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# Sum and Product Algorithm

### SPA Messages

• 
$$p_f(\theta_k) = \int_0^{2\pi} p_f(\theta_{k-1}) p_d(\theta_{k-1}) p_{\Delta}(\theta_k - \theta_{k-1}) d\theta_{k-1}$$

• 
$$p_b(\theta_k) = \int_0^{2\pi} p_b(\theta_{k+1}) p_d(\theta_{k+1}) p_\Delta(\theta_{k+1} - \theta_k) d\theta_{k+1}$$

• 
$$p_d(\theta_k) = \sum_{m=0}^{M-1} P_d(c_k) f_k(c_k, \theta_k)$$

• 
$$P_u(c_k) = \int_0^{2\pi} p_f(\theta_k) p_b(\theta_k) f_k(c_k, \theta_k) d\theta_k$$

## Phase Quantization

- $\theta_k$  is a continuous random variable
- SPA is approximated via phase quantization

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System Model Optimal Detection

# Phase Noise Trajectories

Pilots kill the wrong trajectories!



## Do we really need pilots?

#### Symmetrical Constellation

- Symmetrical constellations need pilots in order to bootstrap the estimation process (zero point in EXIT chart)
- Decoding symmetric constellations requires pilots due to inherent phase ambiguity (Zero point in EXIT charts)

#### Asymmetrical Constellations (AC)

Wrong phase trajectories will decay over time

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# Symmetrical Constellation



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# Asymmetrical Constellation



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# Decay Factor - Definition

- A method to analyze the performance of AC in general
- SPA messages can be approximated using Tikhonov mixtures (Shayovitz & Raphaeli 2012)

$$p_f(\theta_k) = \sum_{i=1}^{N_f} \alpha_i^f \frac{e^{Re[Z_i^f e^{-j\theta_k}]}}{2\pi I_0(|Z_i^f|)}$$

- For asymmetrical constellations, the amplitude of the *i<sup>th</sup>* "wrong" mixture component, α<sup>k</sup><sub>i</sub>, is a decaying process
- The decay factor  $\delta$  is defined as

$$\delta = -\frac{\partial \log\left(\mathbb{E}(\alpha_i^k)\right)}{\partial k}$$

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# Skewed MPSK

#### Definition

$$s_m = e^{j \frac{2\pi m}{M+\epsilon}}$$
  $m = 0, 1, ..., M - 1.$ 

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#### where $\epsilon$ creates the skew in the constellation



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# Decay Factor - Skewed MPSK

Suppose the message  $p_f(\theta_k)$  can be approximated using a Tikhonov mixture of order 2 (phase ambiguity  $\phi$  at k = 0),

$$p_f(\theta_k) = \sum_{i=1}^2 \alpha_i^k f_i^f(\theta_k)$$
(2)

Then, the amplitude process can be described as,

$$\alpha_2^{k+1} = \frac{1}{1 + \frac{\alpha_1^0}{\alpha_2^0} \prod_{i=1}^k \frac{l_0(|\tilde{z}_1^{f,i}|)}{l_0(|\tilde{z}_2^{f,i}|)}}$$
(3)

Then the Decay Factor can be computed numerically using,

$$\delta = -\mathbb{E}\left[\log\left(\frac{I_0\left(\left|C + \frac{(c_k + n_k)e^{-j\frac{2\pi l}{M + skew}}}{\sigma^2}\right|\right)}{I_0\left(\left|Ce^{j\phi} + \frac{(c_k + n_k)e^{-j\frac{2\pi l}{M + skew}}}{\sigma^2}\right|\right)}\right)\right]$$
(4)

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# Skewed MPSK



#### As $\epsilon$ increases the decay factor is better

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## Decay Factor - Example



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# Question

# For large $\epsilon$ , the minimum distance is increased (BER corrupted at High SNR), how does the skew impact the achievable information rate?

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# Skewed MPSK

#### Information Rates for different Skews



A skew of 0.7 seems to not lose IR, but can we use SPA without pilots?

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# Skewed MPSK - EXIT Chart



For zero a priori LLR, we get an output of non zero LLR

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## Bit Error Rate Simulation Results

- 4096 length LDPC code with rate 0.89.
- SMPSK with a skew value of 0.7 without any pilots
- QPSK with one pilot every 40 symbols
- $\sigma_{\Delta} = 0.2$  [rads/symbol].



## Summary

In this talk we have:

- proposed a new signal constellation for **pilotless** transmission of signals over Wiener phase noise channels.
- We also provided a method to analyze the performance of this constellation. This analysis can also be used to assess the performance of other signal constellations and provide insight.

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